

2 Rollovers *(continued)*

The Participant **MUST** submit a statement or letter from the former qualified tuition program (2A) or, in the case of a Rollover between Accounts (2B), the Program Administrator will complete the information showing the date of Withdrawal, the total Rollover amount, and the portions attributable to Contributions and earnings. Until the breakdown is provided, the Program will treat the entire amount of the Rollover as earnings.

Check any below that apply and complete the corresponding information.

A. Rollover from Another Qualified Tuition Program

Until the specified documentation is provided by the former program, the Program will treat the entire amount of this Rollover as earnings.

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Date Withdrawal Made (month, day, year)

Amount Considered Contribution \$ □□□, □□□. □□

Amount Considered Earnings + \$ □□□, □□□. □□

TOTAL = \$ □□□, □□□. □□

B. Rollover Between Existing Accounts in North Carolina's National College Savings Program

Rollovers *from* funds allocated to the Protected Stock Fund are not permitted.

Please provide directions below.

Move funds *from* Account (a): □□□□□□□□□□-□□□□
Account Number

into Account (b): □□□□□□□□□□-□□□□
Account Number

Amount *(Check one.)*

Full Balance.

Close Account (a) and move total amount into Account (b).

Partial Balance.

Deduct the following amount from Account (a) and move it into Account (b): \$ □□□, □□□. □□

Note: Withdrawal from Account (a) will be taken proportionally from each available Investment Option.

For NCSP Program Administrator Use Only

Date Withdrawal Made from Account (a)	Amount Considered Contribution	Amount Considered Earnings	Total Amount of Rollover
□□-□□-□□□□ (month, day, year)	\$ _____	+ \$ _____	= \$ _____

3 Transfers

Until the specified documentation requested below is provided, the Program will treat the entire amount of a transfer designated in Sections 3A or 3B as earnings.

Check any below that apply and complete the corresponding information.

A. Transfer of Proceeds of a Coverdell Education Savings Account

Attach a statement from the financial institution that acted as trustee/custodian of the Coverdell Account showing the basis and earnings in such account.

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Date Withdrawal Made (month, day, year)

Amount Considered Contribution \$, .

Amount Considered Earnings + \$, .

TOTAL = \$, .

B. Transfer of Proceeds of a Qualified U.S. Savings Bond (Series EE or Series I, issued after 1989)

Attach an account statement or Form 1099-INT issued by the financial institution that redeemed the bonds to show interest from the redemption.

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Date Withdrawal Made (month, day, year)

Amount Considered Contribution \$, .

Amount Considered Earnings + \$, .

TOTAL = \$, .

4 Participant Signature – You must sign below

By signing this form and submitting it to College Foundation, Inc., the Program Administrator, I hereby certify that all of the information in this form is true, complete and correct. In addition, I certify that I understand all the criteria and documentation required, and all the criteria are met. I authorize College Foundation, Inc. to make the Rollover or transfer as requested, and I understand the consequences of the Rollover or transfer. If this Rollover or transfer is part of a new Account, I have also attached a signed *Enrollment and Participation Agreement*.

Signature of Participant

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Date (month, day, year)

Note: If your Rollover or transfer requested on this form is intended for an existing Account, funds will be designated according to your instructions on file. Attach instructions or a Contribution slip to this form if you wish to provide an alternate designation for *this* Rollover or transfer.