



# 2 Rollovers *(continued)*

Check any below that apply and complete the corresponding information.

**A.  Rollover from Another Qualified Tuition Program**

Until the specified documentation is provided, the Program will treat the entire amount of a transfer designated in section 1A as earnings.

□□-□□-□□□□

Date Withdrawal Made (month, day, year)

Amount Considered Contribution      \$ □□□, □□□. □□

Amount Considered Earnings      + \$ □□□, □□□. □□

**TOTAL**      = \$ □□□, □□□. □□

**B.  Rollover Between Existing Accounts in North Carolina's National College Savings Program**

Rollovers *from* funds allocated to the Protected Stock Fund are not permitted.

Please provide directions below.

Move funds *from* Account (a): □□□□□□□□□□-□□□□  
Account Number

*into* Account (b): □□□□□□□□□□-□□□□  
Account Number

**Amount** *(Check one.)*

**Full Balance.**

Close Account (a) and move total amount into Account (b).

**Partial Balance.**

Deduct the following amount from Account (a) and move it into Account (b): \$ □□□, □□□. □□

**Note:** Withdrawal from Account (a) will be taken proportionally from each available Investment Option.

### For NCSP Program Administrator Use Only

Date Withdrawal Made from Account (a)	Amount Considered Contribution	Amount Considered Earnings	Total Amount of Rollover
□□-□□-□□□□ (month, day, year)	\$ _____	+ \$ _____	= \$ _____

# 3 Transfers

Until the specified documentation is provided, the Program will treat the entire amount of a transfer designated in Sections 2A or 2B as earnings.

Check any below that apply and complete the corresponding information.

**A.  Transfer of Proceeds of a Coverdell Education Savings Account**

Attach a statement from the financial institution that acted as trustee/custodian of the Coverdell Account showing the basis and earnings in such account.

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Date Withdrawal Made (month, day, year)

Amount Considered Contribution           \$  ,  .

Amount Considered Earnings           + \$  ,  .

**TOTAL**   = \$  ,  .

**B.  Transfer of Proceeds of a Qualified U.S. Savings Bond (Series EE or Series I, issued after 1989)**

Attach an account statement or Form 1099-INT issued by the financial institution that redeemed the bonds to show interest from the redemption.

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Date Withdrawal Made (month, day, year)

Amount Considered Contribution           \$  ,  .

Amount Considered Earnings           + \$  ,  .

**TOTAL**   = \$  ,  .

# 4 Participant Signature – You must sign below

By signing this form and submitting it to College Foundation, Inc., the Program Administrator, I hereby certify that all of the information in this form is true, complete and correct. In addition, I certify that I understand all the criteria and documentation required, and all the criteria are met. I authorize College Foundation, Inc. to make the Rollover or transfer as requested, and I understand the consequences of the Rollover or transfer. If this Rollover or transfer is part of a new Account, I have also attached a signed *Enrollment and Participation Agreement*.

\_\_\_\_\_  
Signature of Participant

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Date (month, day, year)

**Note:** If this Rollover or transfer is intended for an existing Account, funds will be designated according to your instructions on file. Attach instructions or a Contribution slip to this form if you wish to provide an alternate designation for *this* Rollover or transfer.